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| **Application of Conformity Assessment for Specified Electrical Appliances and Materials (1/2)** (Japan DENAN, Diamond PSE Mark) | **TUV®LOGO_1EN_RGB** |

**To TÜV Rheinland Hong Kong Ltd.**

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| I agree with the content described in “Conformity Assessment for Specified Electrical Appliances and Materials Application Handling Flow and Items to be checked” and hereby apply for the Conformity Assessment and issuance of Certificate which has been stipulated in Article 9 of the Electrical Appliances and Materials Safety Act. I acknowledge that part of Conformity Assessment activities may outsourced to external approved subcontractors (See last page for list of subcontractors).   |  | | --- | | (NOTE: For all checkbox “□” in this form, please mark “X” when applicable) | | | | | | | |
| **1. Applicant** *(who manufactures / imports the Electrical Appliances and Materials)* | | | | | | |
| Company Name: | |  | | | | |
| Address: | |  | | | | |
| Name of the Representative (法人代表): | | | | |  | |
| Responsible Person: | | | |  | | |
| Department & Title: | | | |  | | |
| TEL: |  | | | | | Signature: |
| FAX: |  | | | | |
| E-mail: |  | | | | |
| **2. Person responsible for application**  *(e.g. Headquarter office or mother company)* | | | | | | |
| (Same as the applicant stated under Item 1)  (If corporation other than the applicant, please attach a “Letter of Attorney”) | | | | | | |
| (In case of person other than Item 1, please fill-in the following information) | | | | | | |
| Company Name: | |  | | | | |
| Address: | |  | | | | |
| Responsible Person: | | | |  | | |
| Department & Title: | | | |  | | |
| TEL: |  | | | | | Signature: |
| FAX: |  | | | | |
| E-mail: |  | | | | |
| **3. Factory** *(where manufacture the Electrical Appliances and Materials)* | | | | | | |
| Factory Name: | |  | | | | |
| Address: | |  | | | | |
| Responsible Person: | | | |  | | |
| Department & Title: | | | |  | | |
| TEL: |  | | | | | (Additional factory applied, see item 11 on page 2) |
| FAX: |  | | | | |
| E-mail: |  | | | | |
| **4. Name of Specified Electrical Appliances and Materials** *(Name of Product)* | | | | | | |
| Product Name: | | |  | | | |
| Product Usage: | | |  | | | |

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| **Application of Conformity Assessment for Specified Electrical Appliances and Materials (2/2)** (Japan DENAN, Diamond PSE Mark) | | | **TUV®LOGO_1EN_RGB** |
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| **5. Type of Test Report** | | | |
| No report  Base on CB report (includes IEC requirements + National Differences of JP (CB)) | | | |
| **6. Technical Requirements to apply** | | | |
| Appendix 1 to 11 | | | |
| Appendix 12 (J     ) | | | |
| **7. Type Classification:** | | | |
| See the enclosed document | | | |
| **8. Authorized Valid Copy:** States below if additional sets of “Authorized Valid Copy” is required. | | | |
| Request for (     ) set(s) | | | |
| Not require | | | |
| **9. Apply for logo type:** | | | |
| diamond PSE_small (TÜV Triangle)  PSE Diamond - TR HK (TÜV RHK)  Note: For new customer please always choose TÜV Triangle logo. | | | |
| **10. Disposal of test samples:** | | | |
| Dispose after testing  Return to the applicant | | | |
| **11. Application for additional factories described in following.** | | | |
| Factory 1: | | | |
| Factory Name: | | | |
| Address:  Responsible Person:  Department & Title: | | | |
| TEL: | FAX: | E-mail: | |
| Factory 2: | | | |
| Factory Name: | | | |
| Address:  Responsible Person:  Department & Title: | | | |
| TEL: | FAX: | E-mail: | |
| Note: If more factories required, please provide additional sheet. | | | |
| <Contact Information>  TÜV Rheinland Hong Kong Ltd. E-mail [mas@hk.chn.tuv.com](mailto:mas@hk.chn.tuv.com)  Customer Service Hotline: TEL +852 2192-1022  Note: Please complete all applicable items in this form and submit ONLY with page 1 and 2 to above e-mail address. | | | |

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| **Application Handling Flow and Items to be checked(1/3)**  (Japan DENAN, Diamond PSE Mark) | **TUV®LOGO_1EN_RGB** |

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| **1. Application Handling Flow**  The outline of application handling flow of TÜV Rheinland Hong Kong Ltd. (hereinafter, "TRHK“) is as follows.  Applicant for <PS>E mark Certificate  Enquiry for the application  Application for Authorized Valid copy  Issue Authorized Valid copy    Application Review  Send the issued Certificate and / or Authorized Valid copy to the Applicant  New  Application  Issue quotation (with lead-time)  Evaluation  Testing  Inspection  Certification  Acceptance of offer by Applicant  End of the Process  Note:  \*Classification (Category A only) >> Please refer to “Scope of TRHK”  \*If the applicant is not CoC holder, it will follow LoA.  \*\*\*For application of authorized valid copy, please use the “Application Form for Authorized Valid Copy”. |
| **2. Items to be checked when submitting an application**  The items listed below are, for conducting conformity assessment, additional items to both "Testing and Certification Regulations" and "General Business Conditions".  (1) (Preparation and cooperation for conduct of conformity assessment)   * 1. Based on “Guide for Documents/Test Sample(s) preparation”, please provide documents, product information, test sample(s), CB report (if any), etc., which are requested for conduct of conformity assessment.   2. Please make preparation of the matters which are required for conducting conformity assessment, e.g., entering a factory/other premise, survey or browse of documents etc., interviewing the personnel, and others.   (2) (Items to be preserved by applicant)  Concerning conformity assessment or conformity certificate, please implement the following items:   1. To always comply with the concerned requirements of the Electrical Appliances and Materials Safety Act (hereinafter, “DENAN”); 2. To make all necessary arrangements for conduct of the conformity assessment; 3. To make claims regarding certification only in respect of the scope for which conformity assessment was conducted; |

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| **Application Handling Flow and Items to be checked(2/3)**  (Japan DENAN, Diamond PSE Mark) | **TUV®LOGO_1EN_RGB** |

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| 1. Not to use its conformity assessment in such a manner as to bring the RCAB into disrepute, and not to make any statement regarding its conformity assessment which the concerned body may consider as misleading or unauthorized; 2. For suspension or withdrawn of certificate, no specific procedures exist in DENAN. However, if TRHK decided to take the concerned measures by consulting with METI, to stop all advertising which states the concerned conformity assessment or the conformity certificate, and to return the original certificate and the relevant documents to TRHK according to the requirements of TRHK. 3. To use conformity certificate only to indicate that products are certified as being in conformity with specified Technical Requirements; 4. To use the certificate, the report or any part thereof not in a misleading manner; 5. In making reference to its conformity assessment or conformity certificate in communication media such as documents, brochures or advertising, to comply with the requirements of TRHK. 6. Applicant shall inform TRHK, without delay, of changes that may affect its ability to conform with the certification requirements including, but not limited to the following:   (i) the legal, commercial, organizational status or ownership;  (ii) organization and management (e.g. key managerial, decision-making or technical staff);  (iii) modifications to the products or the production method;  (iv) contact address and production sites; and  (v) major changes to the quality management system.  (3) (Ensuring of maintenance of conformity after receiving Certificate)  After issuing of conformity certificate, please ensure by yourself maintaining of conformity with Technical Requirements applicable to products so that it meets with the requirements of DENAN, e.g., Article 8, etc.  Applicant shall also take action for following:   1. To keep a record of all complaints relating to a product’s compliance with requirements of the relevant standard and to make these records available to TRHK when requested. 2. To take appropriate action with respect to such complaints and deficiencies found in products that affect compliance with the requirements for certification. 3. To document the actions taken. 4. To manage Certificates and Authorized Valid Copy with proper manner to maintain during the period of validity and to prevent incorrect use.   (4) (Fees)  In case of the incorrect documents or improper test sample etc. of which the applicant submitted, improvement against non-conformity, or the like, if the concerned issue is under responsibility of the applicant and if additional work is required, TRHK informs the applicant of the additional fee and confirms the payment with the applicant. If not agreed for the payment, TRHK stops the project and charges the actual expenses which were spent till then.  (5) (Applicant)  As a rule, applicant subjected to Conformity Assessment stipulated in Article 9 of DENAN is Japanese manufacturer (manufacturer of the concerned Electrical Appliances and Materials) or Japanese importer (importer of the concerned Electrical Appliances and Materials), and the concerned business operators have to conduct by themselves the inspection stipulated in Article 8 of DENAN. However, in the case of Japanese importer, they may not have ability to conduct by themselves the inspection stipulated in Article 8 of DENAN. In such case, by receiving an application from overseas manufacturer (manufacturer of the concerned Electrical Appliances and Materials) according to Article 13 of the Enforcement Regulations based on Article 9 of DENAN, issuing of “Equivalent of the Certificate” and its “Authorized Valid Copy” is possible against the concerned overseas manufacturer. Japanese importer then receives the “Authorized Valid Copy” through the overseas manufacturer directly.  When applying, please prepare an application by checking which condition is subjected to your case.   1. (Application Form)   When applying, please use the “Application for Conformity Assessment for Specified Electrical Appliances and Materials” attached in this document. Even if the application form of TRHK or other forms are used for the reason of an application combining other services etc., the application is regarded as the application after agreeing to the contents of this document. When applying by other forms, please pay attention so that information to all items mentioned in “Application for Conformity Assessment for Specified Electrical Appliances and Materials” is provided. |

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| **Application Handling Flow and Items to be checked(3/3)**  (Japan DENAN, Diamond PSE Mark) | **TUV®LOGO_1EN_RGB** |

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| (7) (Indication of name of conformity assessment body)  After issuing conformity certificate, the Notifying Supplier subjected to the provisions of Article 3 of DENAN has to implement marking on the concerned Electrical Appliances and Materials in the manner specified in Article 17 of the Enforcement Regulations of DENAN. In that case, the marking manner of TRHK has to be as follows:   * **TÜV RHK**   (Approved abbreviation, according to the provisions of Article 17, Paragraph 3 of the Enforcement Regulations of DENAN)  or   * TUV_LOGO_Black   (Trademark reported according to the provisions of Article 17, Paragraph 3 of the Enforcement Regulations of DENAN)  When indicating, please refer to Appendix 6 of the Regulation for Enforcement of the Electrical Appliances and Materials Safety Act.  Note:  When found out incorrect references to the certification system or misuse of certificates or indication, TRHK will take suitable actions, e.g withdrawal of certificate and if necessary, other legal action.  (8) (Surveillance)  Currently DENAN has not specified any specific surveillance program of which RCABs shall conduct. However, TRHK will take actions by analyzing the impacts on the markets etc. if finding out nonconformity on certificate issued by TRHK and/or provisions of DENAN.  (9) (Application of “Authorized Valid Copy”)  Based on Article 13 of the Enforcement Regulations based on Article 9 of DENAN, the application of “Authorized Valid Copy” of an issued “Equivalent of the Certificate” shall applied from overseas manufacturer directly to TRHK, TRHK accept the application from overseas manufacturer and after execution send the issued “Authorized Valid Copy” directly to them. The Japanese Importer or Notifying Suppliers shall obtain the authorized valid copy from their overseas manufacturer.  (10) (Complaint and Appeals)  Submit your complaint or appeal by mail to [mas@hk.chn.tuv.com](mailto:mas@hk.chn.tuv.com) or contact us via the feedback form on our website <https://www.tuv.com/greater-china/en/>  (11) (Others)  None. |

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| **Guide for Documents/Test Sample(s) preparation**  (Japan DENAN, Diamond PSE Mark) | **TUV®LOGO_1EN_RGB** |

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| 1. “Application for Conformity Assessment for Specified Electrical Appliances and Materials”   When applying by other forms, please refer to “Application Handling Flow and Items to be checked, when submitting an application, check item (6) (Application Form)” of said document.   1. Type Classification   Type classifications are available on the webpage of Ministry of Economy, Trade and Industry (METI) as specified in Appendix 2 of Regulation for Enforcement of the Electrical Appliances and Materials Safety Act. Type classifications for Specified Electrical Appliances and Materials within the scope of registration of TRHK are also available at TRHK on request.  3) Summary of structure, materials and performance of Specified Electrical Appliances and Materials  No special form is prescribed. Please submit a document describing structure, materials and performance of the Specified Electrical Appliances and Materials.   1. List of factories (name of factory, address, name of contact person, TEL, FAX and E-mail)   In case of more than 1 factory, please submit a list of factories as attachment as described in the application form.   1. List of inspection facilities (please submit the list for each factory)   As for assessment of the inspection facilities, please refer to the relevant scope of Electrical Appliances and Materials in the Appendix 4 of the Enforcement Regulations of DENAN (refer to URL mentioned in above 2)). Further it is checked whether an internal procedure for management of facilities required by the Enforcement Regulations is established. As for facilities requiring accuracy, copies of calibration certificates are needed together with the list of inspection facilities, please submit the copies together with the documents related to above-mentioned internal procedure beforehand or during the on-site survey.   1. Technical documentation   As a general rule, the following are required.   1. Circuit diagram 2. List of critical components 3. Instructions for use (Japanese version) 4. Specifications, structural drawing, wiring diagram, circuit pattern of printed wiring board (PWB) etc. for finished product, used components etc. 5. Certificates for used components/materials (if any corresponding) 6. Document describing special operation, handling etc., if any. 7. Design drawing of marking of ratings   Depending on product, others than the above-mentioned may be required. For details, please check with TRHK contact person before application or when applying.   1. Test sample(s)   We inform you about the number of test sample(s) to be submitted for smooth conduct of conformity assessment in consideration of the product concerned, delivery deadline requested and other matters. For details, please check with TRHK contact person.   1. Letter of Attorney   If person responsible for application is other corporation than the applicant etc. Please be noticed that the issued Certificate of Conformity will be delivered to CoC holder directly. | |
| **Scope of TRHK**  (Japan DENAN, Diamond PSE Mark) | | **TUV®LOGO_1EN_RGB** |

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| 1. Single-phase small power transformers and discharge lamp ballasts   - Transformers for toys (7 years)  - Other household appliance transformers (7 years)  - Electronic appliance transformers (7 years)  - Ballasts for fluorescent lamps (7 years)  - Ballasts for mercury vapor lamps and ballasts for other high pressure discharge lamps (7 years)  - Ballasts for ozonizer (7 years)   1. Electric Heating Appliances  - Electric heated toilet seats (5 years)   - Electric hot cupboards (5 years)  - Electric pipe freeze prevention heaters (7 years)  - Glass dew-prevention heaters (7 years)  - Other electric heaters for freeze and condensation prevention (7 years)  - Electric heaters for steam baths (5 years)  - Electric sauna baths (5 years)  - Electric heaters for sauna baths (5 years)  - Aquarium heaters (5 years)  - Heating appliances for garden plants (5 years)  - Electric heating appliance for children (toys) (5 years)  - Electric storage water heaters (5 years)  - Electric inhalators (5 years)  - Household heating therapeutic appliances (5 years)  - Electric steam baths (5 years)     1. Motor-operated Mechanical Devices  - Electric pumps (5 years)   - Electric well pumps (5 years)  - Refrigerating showcases (5 years)  - Freezing showcases (5 years)  - Electric Ice cream freezers (5 years)  - Electric food waste disposers (5 years)  - Electric massagers (5 years)  - Automatically washing and drying toilets (5 years)  - Vending machines (5 years)  - Electric bubble generators for bathtubs (3 years)  - Electric bubble generators for aquariums (3 years)  - Other electric bubble generators (3 years)  - Electric motor-operated appliances for children (toys) (5 years)  - Electric vehicles (5 years)  - Other electric motor-operated or electromagnetically driven amusement appliances (5 years)   1. AC Electrical Appliances   - Magnetic therapeutic appliances (3 years)  - Electric insect killers (5 years)  - Electric therapeutic bath controllers (5 years)  - AC/DC power supply (5 years)  Note:  The number in () means validity of Certificate.   1. List of subcontractors:  * TÜV Rheinland Taiwan Ltd. * TÜV Rheinland (Guangdong) Ltd. * TÜV Rheinland (Shenzhen) Co., Ltd. * TÜV Rheinland (Shanghai) Co., Ltd. * TÜV Rheinland/CCIC (Ningbo) Co., Ltd. * TÜV Rheinland/CCIC (Qingdao) Co., Ltd. * TÜV Rheinland Korea Ltd. |