



Questions and answers about ASI Performance Standard Certification

Our experts answer questions frequently asked about ASI Performance Standard Certification. **Contact us to learn more!**

1. WHO IS ELIGIBLE FOR ASI CERTIFICATION?

ASI Certification is available to ASI members registered in the 'Production and Transformation' or 'Industrial Users' membership classes. More information regarding ASI membership can be found on the [ASI website](#).

2. WHAT IS THE "CERTIFICATION SCOPE"?

The Certification Scope determines which areas of a business, facility, product, or program are to be covered with ASI Certification in order to:

- Make sure the member is informed about what falls within the scope of the ASI Audit
- Enable the auditor to develop an appropriate Audit Plan to assess conformance with relevant ASI standards
- Clearly and accurately communicate the Certification Scope to stakeholders and business partners

3. WHY IS SELF-ASSESSMENT NECESSARY?

Members conduct self-assessment within the Certification Scope to evaluate and better understand their current level of conformance with the ASI standard.

4. WHAT IF AN ENTITY LACKS THE ABILITY TO CONDUCT ITS OWN SELF-ASSESSMENT?

Members unable to conduct and complete in-house self-assessment may employ the assistance of a competent consultant, advisor, or an ASI Registered Specialist.

5. WHAT KIND OF OBJECTIVE EVIDENCE IS NEEDED TO ACHIEVE ASI CERTIFICATION?

Objective evidence is verifiable qualitative and quantitative information made up of documentation, observations, and/or testimonials gathered during self-assessment and/or an audit used to support conformance ratings.

6. WHAT HAPPENS IN CASES LACKING OBJECTIVE EVIDENCE?

Although a lack of objective evidence does not always result in failure, non-conformance can be determined when evidence is not available due to poor record keeping or other problematic management practices.

7. HOW CAN SMALL BUSINESSES MEET THE OBJECTIVE EVIDENCE CRITERIA FOR ASI CERTIFICATION?

ASI Certification aims to be achievable and relevant for businesses of every size.

Effective management systems may be less formal in small businesses or production facilities, with policies and programs being easily communicated to a smaller workforce reducing the need for extensive documentation. Because senior management tends to be more involved with the day-to-day running of the business, there is a high degree of awareness of the issues and risks needing to be addressed and monitored.

Although ASI Certification demands the same level of commitment to conformance for businesses of any size, relevant objective evidence may differ for smaller members.

8. WHEN AND HOW ARE CORRECTIVE ACTION PLANS IMPLEMENTED?

Corrective action plans must be appropriately prepared and implemented in all cases of major and minor non-conformances. Corrective actions aim to remediate harm or eliminate the cause of a recognized non-conformance. Preventive actions are implemented to stop instances of non-conformance from occurring.

9. HOW IS THE MATURITY LEVEL OF MY BUSINESS/ FACILITY DETERMINED?

Members are rated according to the following criteria:

- **High Overall Maturity Rating**
Mature management systems, effective risk control, good performance in the defined Certification Scope, low risk of non-conformance, minimal negative impact on the community and environment.
- **Medium Overall Maturity Rating**
Some management systems, unreliable risk controls, average performance in the defined Certification Scope, moderate risk of non-conformance, moderate potential for negative impact on the community and environment.
- **Low Overall Maturity Rating**
Immature or limited management systems, ineffective or insufficient risk controls, below average performance in the defined Certification Scope, high risk of non-conformance, potential for significant negative impacts on the community and environment.

WE ARE HAPPY TO ANSWER ANY FURTHER QUESTIONS. SPEAK WITH AN ACCREDITED ASI EXPERT TODAY !

ONLINE CONTACT